



GEF SECRETARIAT REVIEW FOR FULL/MEDIUM-SIZED PROJECTS* THE GEF/LDCF/SCCF TRUST FUNDS

GEF ID:	4952		
Country/Region:	Rwanda		
Project Title:	Landscape Approach to Forest Restoration and Conservation (LAFREC)		
GEF Agency:	World Bank	GEF Agency Project ID:	131464 (World Bank)
Type of Trust Fund:	Multi Trust Fund	GEF Focal Area (s):	Multi Focal Area
GEF-5 Focal Area/ LDCF/SCCF Objective (s):	BD-2; LD-3; LD-3; SFM/REDD+-1; CCA-1; CCA-2; CCA-2; Project Mana; Project Mana;		
Anticipated Financing PPG:	\$0	Project Grant:	\$9,532,000
Co-financing:	\$53,530,000	Total Project Cost:	\$63,062,000
PIF Approval:	April 27, 2012	Council Approval/Expected:	June 07, 2012
CEO Endorsement/Approval		Expected Project Start Date:	
Program Manager:	Jean-Marc Sinnassamy	Agency Contact Person:	Paola Agostini

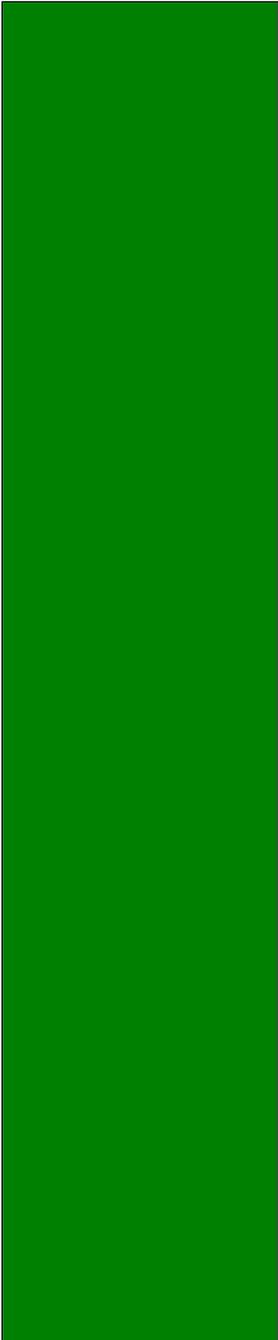
Review Criteria	Questions	Secretariat Comment at PIF (PFD)/Work Program Inclusion	Secretariat Comment At CEO Endorsement(FSP)/Approval (MSP)
Eligibility	1. Is the participating country eligible?	<p>April 10, 2012</p> <p>Yes Rwanda is eligible under the CBD and UNCCD. Rwanda also ratified the UNCBD (May 1995) and UNFCCC (Oct 22, 1998).</p> <p>CCA: YES. Rwanda is an LDC Party to the UNFCCC and it has completed its NAPA.</p> <p>Cleared</p>	
	2. Has the operational focal point endorsed the project?	<p>April 10, 2012</p> <p>There is a letter signed by the OFP endorsing the project entitled "Landscape approach for forest restoration and conservation" using all</p>	

		<p>triggering the SFM/REDD+ incentive, and also using \$4.5 million from the LDCF.</p> <p>The letter is acceptable. However, we would like to get the Agency's attention on some discrepancies we found in the letter:</p> <ul style="list-style-type: none"> - The fee calculation is wrong. For a individual GEF5 project, agency fees are ten percent (\$960,000 of fees for a project grant of \$9,540,000 and a PPG of \$120,000). - The sum mentioned for the second column (project) is wrong" \$9.6600 instead of \$9,540,000. <p>April 17, 2012</p> <p>All amounts are now correct.</p> <p>Cleared</p>	
Agency's Comparative Advantage	3. Is the Agency's comparative advantage for this project clearly described and supported?	<p>April 10, 2012</p> <p>Yes, the World Bank has a country office in Rwanda and details of its activities (See section C) demonstrate comparative advantage for the project.</p> <p>Cleared</p>	
	4. If there is a non-grant instrument in the project, is the GEF Agency capable of managing it?	Addressed.	
	5. Does the project fit into the Agency's program and staff capacity in the country?	<p>April 10, 2012</p> <p>Yes</p> <p>Cleared</p>	
	6. Is the proposed Grant (including the Agency fee) within the resources		

Resource Availability			
	<ul style="list-style-type: none"> • the STAR allocation? 	<p>April 10, 2012</p> <p>The PIF shows that Rwanda will use its all of its STAR allocations for this project, and leverage SFM/REDD+ incentive funds. Rwanda is a flexible country and has merged its allocations under two focal areas: \$3 million for LD and \$1.58 million for BD (total \$4.58 million).</p> <p>Cleared</p>	
	<ul style="list-style-type: none"> • the focal area allocation? 	<p>April 10, 2012</p> <p>- The CC allocation is merged with the LD to provide \$3 million under the LD focal area.</p> <p>- \$1,580,000 are used under the BD focal area (the whole BD allocation + 80,000\$ either from CC or LD).</p> <p>Please confirm the numbers and confirm if a PPG will be developed. Following the guidance of the letter of endorsement, it seems that a PPG of \$120,000 is planned. If the PPG is confirmed, please adjust the project amount and the tables A, B, and D.</p> <p>- We also find discrepancies in the values between the tables A and D and a too high amount of the SFM incentive. Please, confirm the values.</p> <p>April 17, 2010</p> <p>All amounts are now correct.</p>	

		Cleared	
	<ul style="list-style-type: none"> the LDCF under the principle of equitable access 	<p>April 10, 2012</p> <p>CCA: YES. The proposed grant is available under the LDCF in accordance with the principle of equitable access.</p>	
	<ul style="list-style-type: none"> the SCCF (Adaptation or Technology Transfer)? 	n/a	
	<ul style="list-style-type: none"> Nagoya Protocol Investment Fund 	n/a	
	<ul style="list-style-type: none"> focal area set-aside? 	<p>April 10, 2012</p> <p>The SFM/REDD+ incentive is triggered. However, we remind that for each \$3 from at least 2 focal areas, it possible to leverage UP TO \$1 of additional resources.</p> <p>For Rwanda, with a forest project combining all STAR resources of \$4,580,000, the maximum can be \$1,526 million (grant + fees). In this case, the assumption is that 100% of STAR resources are assigned to sustainable forest management issues. Please, confirm.</p> <p>The triggering of the SFM/REDD+ incentive needs to be associated to gains in carbon. Rough estimations using indicative Tier 1 estimates are acceptable. There are potentially two ways to gain carbon: 1) showing the hectares of restored forests/landscapes and 2) showing avoided deforestation (the number of ha non lost or non degraded). Better estimates can be obtained during the PPG.</p>	

		<p>first page (part I: project description)</p> <p>April 17, 2012</p> <p>Addressed.</p>	
Project Consistency	<p>7. Is the project aligned with the focal /multifocal areas/ LDCF/SCCF/NPIF results framework?</p>	<p>April 10, 2012</p> <p>Table A: The objective related to the LD strategy should appear under LD3 (please remove the mention of CCM-2).</p> <p>CCA: YES. The proposed project is aligned with the LDCF/SCCF results framework.</p> <p>April 17, 2012</p> <p>Addressed.</p>	
	<p>8. Are the relevant GEF 5 focal/ multifocal areas/LDCF/SCCF/NPIF objectives identified?</p>	<p>April 10, 2012</p> <p>The GEF5 objectives, outcomes, and outputs are identified and reflected in the table A. The result framework provides also all elements for a potentially eligible project. However, some elements and concepts need clarifications:</p> <p>- Restoration: While forest restoration might be acceptable under the SFM/REDD+ and the LD strategy (and not under the BD strategy), we would like more information about the activities developed for such restoration. "Establishment of agroforestry plots and establishment of family or community woodlots" can be controversial under the SFM/REDD+ strategy (native species versus exotic and fast growth trees. restoration versus land use</p>	



- The component 2 is supposed to apply a multisectoral forest and landscape approach in priority landscapes: the Giswhwati forest area and four other microwatersheds. Even if the word "landscape" is mentioned in association with "forests", it is expected that all activities on the ground will be associated somehow to forests or will impact forests. For instance terracing, reforestation of extremely fragile soils susceptible to landslides, even improved agriculture and improved livestock management will contribute to restore ecosystem services, including forests, and will increase at the end forest cover and carbon balance. If too many activities cannot be related to forests, please reduce the contribution of the SFM incentive.

CCA: NOT CLEAR. According to the Focal Area Strategy Framework (Table A), the proposed project would contribute towards CCA-2 and, specifically, CCA-2.1 on increased knowledge and understanding of climate variability and climate change -induced threats, as well as CCA-2.2 on strengthened adaptive capacity to reduce risks to climate change -induced economic losses.

The proposed CCA outcomes do not appear correspond to the adaptation measures discussed in the PIF. Provided that the proposed project would be focused on "action on the ground" with the aim of enhancing the "resilience of

		<p>more extreme weather events" (p. 23), the project would certainly contribute towards CCA-1 as well. On the other hand, Section II.B.2 of the PIF does not discuss any measures to assess vulnerabilities and disseminate risk information in accordance with CCA-2.1.</p> <p>RECOMMENDED ACTION: Upon addressing CCA recommendations under sections 13 and 14 below, please ensure that the Focal Area Strategy Framework provides the most relevant CCA objectives, outcomes and outputs, as well as the associated grant and co-financing amounts.</p> <p>04/15/2012 â€œ CCA: YES. The Focal Area Strategy Framework has been revised to reflect that the project would contribute considerably towards CCA-1.2, by strengthening vulnerable physical, natural and social assets in response to the adverse effects of climate change.</p> <p>April 17, 2012</p> <p>Addressed.</p>	
	<p>9. Is the project consistent with the recipient country's national strategies and plans or reports and assessments under relevant conventions, including NPFE, NAPA, NCSA, or NAP?</p>	<p>April 10, 2012</p> <p>Addressed for the GEF focal areas.</p> <p>CCA: NOT CLEAR. According to the PIF, the proposed project would contribute towards several of Rwanda's NAPA priorities in an integrated manner. Yet, the alignment of the project, and Component 3 in particular,</p>	

		<p>consistently demonstrated.</p> <p>Due to the limited information provided about the specific adaptation measures to be supported, it is not clear how the proposed project would address the NAPA priorities identified in the PIF. Moreover, while the project would target Gishwati Forest and its surroundings, noting that the northern and western districts of Rwanda are most at risk from landslides and floods due to extreme weather events, this does not correspond to the regional analysis provided in the NAPA (see Rwanda NAPA, fig. 9, p. 37).</p> <p>RECOMMENDED ACTION: Please ensure that (i) the project is clearly aligned with the priority measures and associated regions identified in the NAPA; and that (ii) the NAPA priorities cited correspond to the description of the project in Table B and Section II.B.2 of the PIF.</p> <p>04/15/2012 “ CCA: YES. The revised PIF demonstrates that the proposed adaptation measures are aligned with the Rwanda NAPA. The vulnerability of Gishwati Forest and its surroundings, particularly in the face of floods and landslides, is adequately clarified.</p>	
	<p>10. Does the proposal clearly articulate how the capacities developed, if any, will contribute to the sustainability of project outcomes?</p>	<p>April 10, 2012</p> <p>The strategy of the project is based on the reinforcement of capacities, the strengthening of policies for multi-sectoral approach for landscape restoration and conservation.</p>	

		<p>- However, the result framework and the implementation arrangements focused on the role of the administrations under the coordination of the MINIRENA. Producer associations are mentioned one time under the component 3. We would like the confirmation that the reinforcement of capacities will target other people than the central services.</p> <p>- Please, include a capacity development strategy in the PPG.</p> <p>April 17, 2012 Addressed</p> <p>CCA: NOT CLEAR. Upon addressing CCA recommendations under Section 13 below, please demonstrate that the adaptation measures proposed will be designed and implemented with a view of achieving sustainable outcomes.</p> <p>04/15/2012 “ CCA: YES. The re-submission demonstrates adequately that the proposed adaptation measures would result in sustainable benefits, through strong integration with baseline projects and thanks to associated capacity building.</p> <p>By CEO Endorsement, please provide further information as to how sustainability will be ensured.</p>	
	<p>11. Is (are) the baseline project(s), including problem (s) that the baseline project(s) seek/s to address, sufficiently described and based on sound data and assumptions?</p>	<p>April 10, 2012</p> <p>The baseline projects are somehow confusing. First, confirm if the Lake Victoria Project you are referring to is the existing World Bank/GEF Project</p>	

Project Design

Land Degradation for a GEF4 project under the SIP/Terrafrica program. Even if the geographical targets overlap, it seems difficult to include this project. A cofinancing cannot be used several times and the themes were pretty different. Please, clarify.

- The GWLM includes a road construction project to open up an area that is already considered fragile even if "landlocked". There is no clear articulation of how potential tradeoffs with respect to forests and biodiversity will be managed as part of the proposed landscape restoration project.

- The section on barriers is focused on 1) lack of cross-sectoral collaboration and institutional capacity, 2) the risks of invasive species, and 3) the lack of on-the-ground demonstration. This strategic choice is relatively surprising and we wonder the consistency with the elements of background that are provided in the text (pressure from vulnerable and poor people, subsistence farming, exploitation of natural resources, economy dependant from agriculture, widespread use of fuelwood and charcoal...). Given, the size of the country and the institutions, we wonder why there is not a more logical alignment to these barriers. We will need more justification on the need of this "landscape restoration approach".

CCA: NOT CLEAR. The PIF cites four baseline projects: (i) the Gishwati Land and Water Management Project

Environmental Management Project (LVEMP II); (iii) the Third Rural Support Project (RSSP); and (iv) Reducing Vulnerability to Climate Change by Establishing Early Warning and Disaster Preparedness Systems and Support for Integrated Watershed Management in Flood-Prone Areas.

The UNEP-LDCF project (iv) cannot be treated as a baseline initiative, as LDCF resources cannot be regarded as co-financing towards another GEF/LDCF project.

Table C cites \$2.33 million in indicative co-financing from IUCN. Yet, this amount is in no way reflected in the description of the baseline projects in section II.B.2.

RECOMMENDED ACTION: Please (i) treat the ongoing UNEP-LDCF project as another related initiative in Section II.B.6 of the PIF and (ii) ensure that the description of the baseline initiatives in Section II.B.1 is entirely consistent with the indicative co-financing figures provided in Table C.

04/15/2012 " CCA: YES. The UNEP-LDCF project is no longer listed among the baseline projects. The re-submission also clarifies the role of the IUCN, the baseline initiatives it has engaged in and the nature of co-financing it could provide towards the proposed project

April 17, 2012
GEF-TF:

		<p>the response provided for the concerns expressed about the LVEMP II stays unclear. The PIF needs to provide a brief description of the original WB/GEF project (GEF ID 3399 / WB ID P103298) and indicate exactly how the new LVEMP II financing in Rwanda is differentiated from the initial IDA funding. Otherwise GEF Council members will most certainly express concerns about the risk of "double-counting" in the indicative co-financing.</p> <p>- It is still not clear why IUCN is included in the baseline project. The explanation provided is based on the historical role of IUCN on landscapes. Please, describe the baseline activities for \$2.3 million that are considered in the cofinancing.</p> <p>April 20, 2012</p> <p>All issues have now been addressed in the revised PIF.</p> <p>Cleared</p>	
	<p>12. Has the cost-effectiveness been sufficiently demonstrated, including the cost-effectiveness of the project design approach as compared to alternative approaches to achieve similar benefits?</p>		
	<p>13. Are the activities that will be financed using GEF/LDCF/SCCF funding based on incremental/additional reasoning?</p>	<p>April 10, 2012</p> <p>We take note of the reasoning (see questions on cell. 11).</p> <p>We would like some clarifications between the breakdown in the table A</p>	

see the consistency between what is summarized in the table A and what is proposed in the table B.

April 17, 2012

The reasoning is now consistent with the results framework. for focal areas.

CCA: NOT CLEAR. The PIF lacks a clear and coherent description of the adaptation measures proposed for LDCF financing under Component 3, the areas in which these would be carried out, the NAPA priorities to which these correspond, and the manner in which these build on and enhance the climate resilience of the baseline projects.

The PIF discusses very briefly the additional reasoning associated with Component 3, noting that it is "directed towards action on the ground [â€] with a narrower focus on activities aimed at increasing the resilience of local communities to more frequent and extreme weather events". The Component would be implemented in the same areas as Component 2, i.e. Gishwati Forest and "landscapes at the micro-watershed level where marshlands, wetlands and hillsides are benefitting from some level of rehabilitation and restoration under the existing LVEMP II and RSSP." The PIF also notes that the investments will be "identified through the existing UNEP-LDCF project as well as through the negotiated landscape restoration and management plans" to be developed

The PIF does not describe the extent to which the baseline projects would be vulnerable to the effects of climate change or unable to attain and sustain their outcomes due to these effects. This is a prerequisite for demonstrating that the activities proposed for LDCF financing are based on additional reasoning. It should be noted that the table provided in Section II.B.2 of the PIF (pp. 18-20) describes only the Global Environmental Benefits associated with the project, not the adaptation benefits associated specifically with the proposed LDCF grant.

It appears that the proposed project would overlap with the ongoing UNEP-LDCF project, which also focuses on areas in and around Gishwati Forest. Moreover, with regard to landslides and floods, as noted in the NAPA, it is not clear to what extent the Forest constitutes a priority area for adaptation action (see Section 9 above).

As for the specific investments proposed for LDCF financing, the PIF cites a range of adaptation measures (p. 17), but it remains unclear whether such activities are in fact proposed under Component 3 or whether they merely serve as an illustration of the investments that could be identified at a later stage.

RECOMMENDED ACTION: Please (i) provide a clear and coherent description

for LDCF financing under Component 3; (ii) describe the extent to which the relevant baseline projects are unable to address the adverse effects of climate change, thus justifying the need for additional LDCF resources; (iii) demonstrate that Component 3 would target the most vulnerable sectors and associated regions in accordance with the NAPA; and (iv) while specific, community-level investments could be identified upon project preparation, please provide a clear indication of the kinds of adaptation measures the project could support and the manner in which priority adaptation measures will be identified during project preparation.

2012/04/15 â€œ CCA: NOT CLEAR.
The revised PIF clarifies the adaptation measures proposed for LDCF financing under Component 3. According to the re-submission, the Component would support measures to reduce vulnerability to more frequent floods in four Districts around Gishwati Forest as identified in the NAPA and building on GLWMP. Moreover, the Component would carry out investments to enhance resilience in the face of prolonged droughts in districts identified in the NAPA and building on LVEMP II and RSSP. In order to identify the most urgent and effective adaptation measures, vulnerability assessments would be updated and carried out in the targeted areas. Component 3 would also contribute towards enhancing the capacity of local government and participating communities.

The additional cost reasoning has improved considerably. Still, a few issues require further clarification. According to the re-submission, Component 3 would support the introduction of alternative sources of energy and more efficient use of fuelwood. These measures would contribute directly towards several global environmental benefits, particularly climate change mitigation. However, their effectiveness for adaptation has not been clearly demonstrated in the context of the proposed project.

Moreover, the PIF refers to "regional centers and networks" and the "dissemination of timely risk information" in the Project Framework and on p.20, but such activities are not listed in the context of adaptation benefits on page 21. If such technical assistance is indeed to be provided for adaptation, alongside vulnerability and risk assessments and capacity building, please provide further information and ensure that it is adequately reflected throughout the proposal as appropriate.

RECOMMENDED ACTION: Please (i) justify the proposed allocation of LDCF resources towards alternative sources of energy and the more efficient use of fuelwood, and (ii) ensure that the proposed adaptation measures and their expected benefits are consistently described.

Finally, please ensure a consistent use of

		<p>measures proposed under Component 3, for example "global environmental and adaptation benefits" in the table on pp. 15-16.</p> <p>While it is understood that vulnerability and risk assessments will be undertaken during the early phases of project implementation, it is nevertheless expected that further information be provided as to the specific areas to be targeted under Component 3, based on stakeholder consultations and other information gathered during project preparation. By CEO Endorsement, please provide further information on areas that will be targeted by the project.</p> <p>04/20/2012 " CCA: YES. The re-submissions presents consistently the activities proposed for LDCF financing, including their expected adaptation benefits. The reference to "strengthening regional centers and networks" has been removed, as such measures would be carried out through another initiative.</p> <p>The revised submission provides additional justification for the proposed LDCF support towards alternative sources of energy and more efficient use of fuelwood. It is understood that such measures would specifically target the loss of forest and vegetation cover in areas affected by floods, landslides and drought. This question will, however, be revisited at CEO Endorsement upon further information about the specific areas proposed for LDCF support.</p>	
	14. Is the project framework sound and	April 10, 2012	

The project objective, while long, composed, and with some very broad elements can be compatible with the GEF5 strategy. The result framework provides a consistent set of outcomes and outputs that can fit with the GEF5 strategy.

Without taking each element of the different focal areas and considering the project as an integrated approach, some clarifications of concepts are however needed to check the eligibility of the reasoning.

Sustainability is an important aspect of GEF projects. We appreciate that some elements of sustainability are mentioned in the result framework. Different activities focusing on sustainable and financing and innovations are mentioned (carbon finance, agreements with the private sector, market based mechanism, PES, etc.). There is also an ambitious indicator of 65% of income available for landscape restoration secured by the establishment of innovative financing. Please, provide more information on what you think to do on this issue and include an feasibility study in the PPG.

Develop clear, and when possible, quantified outputs that reflect the nature of activities and the deliverables. It is relatively difficult to figure out how the GEF resources will be spent. The text for each output in the framework is too long, a little bit confusing, as it describes a process. Summarize the outputs and give further information on

achieved in the text.

Please, explain the difference between 1.5 (sustainable financing strategy) and 2.3 (innovative modalities for sustainable financing). We would invite the Agency to remove any potential duplication of activities and be more pragmatic on the nature of outputs notably linked to financing mechanisms.

In addition to the carbon values requested in the cell. 6 about the use of the focal area set aside (here the SFM/REDD+ incentive), we would like to clarify the number of ha that will be impacted by the project. We find the mention of 3,000 ha covered by plans and 500 ha of reforestation. We might ask for the cost effectiveness of the whole approach.

We also wonder what are the types and quantities of services generated through SFM (cf. table A for SFM). A description and some metrics will be welcome, even roughly, and a deeper analysis will be welcome during the PPG.

We understand the rationale for the component 1 to develop a nation-wide landscape restoration strategy, to implement it through forums, to monitor the successes and provide the information available. However, the nature of outputs and deliverables is not very clear. It is difficult to guess how the resources will be used. Actually, most of outputs sound like outcomes.

1.4, and 1.6.

- Is it reasonable to include the output 2.4 on the definition of carbon monitoring methodologies?

- See cell. 23 on the management costs.

CCA: NOT CLEAR. Component 3 in the project framework does not appear to correspond to the description of the same Component in Section II.B.2 of the PIF. In particular, the latter makes no reference to outputs 3.1, 3.2 and 3.3 of the Project Framework.

Moreover, for clarity, the Project Framework should disaggregate LDCE and GEF financing by outcome rather than only by component.

RECOMMENDED ACTION: Upon addressing CCA recommendations under sections 8 and 13, please revise the Project Framework accordingly.

04/15/2012â€”CCA: NOT CLEAR. The project framework has been revised and clarified, but it does not appear to be fully consistent with the revised description of the adaptation measures proposed under Component 3, which appears to have two sub-components: (i) vulnerability assessments and capacity building (TA), and (ii) targeted investments to enhance resilience in the face of floods and droughts (INV).

RECOMMENDED ACTION: Upon addressing the remaining CCA

		<p>please revise the Project Framework accordingly.</p> <p>April 17, 2012</p> <p>GEF-TF: Thanks for the improvement of the project framework and the revised outcomes and outputs.</p> <ul style="list-style-type: none"> - During the PPG phase, please develop the sustainability of the approach that is not clear. - How would investments in the Gishwati forest landscape link with other landscapes, such as Mukura and Nyungwe in the south and Volcanoes in the north, "in support of the reestablishment of biological corridors to enhance habitat connectivity and reduce fragmentation". <p>April 20, 2012</p> <p>GEF-TF: Addressed.</p> <p>04/20/2012 â€“ CCA: YES. The Project Framework has been revised as recommended.</p>	
	<p>15. Are the applied methodology and assumptions for the description of the incremental/additional benefits sound and appropriate?</p>	<p>April 10, 2012</p> <p>There is a real effort to develop an incremental reasoning and to justify the use of GEF resources through the production of Global Environment Benefits. However, please revise the table in the section B2 (p 18-19-20). All the benefits proposed in the third column are not GEBs and could even be controversial (introduction of species resistant to droughts for instance).</p>	

		<p>(fourth section, p17) and are correct (enhancement of natural habitats for biodiversity of global importance, increased forest cover, more sustainable agriculture practices with better carbon sequestration and less erosion). Further information on their measurement will be expected at CEO endorsement.</p> <p>CCA: NOT CLEAR. Upon addressing CCA recommendations under Section 13 above, please describe the adaptation benefits associated with the proposed project.</p> <p>04/15/2012 “ CCA: NOT CLEAR.</p> <p>RECOMMENDED ACTION: Please refer to the remaining CCA recommendations under Section 13.</p> <p>April 17, 2012</p> <p>GEFTF- Addressed.</p> <p>04/20/2012 “ CCA: YES. The adaptation benefits of the activities proposed for LDCF financing are adequately described for this stage of project development.</p>	
	<p>16. Is there a clear description of: a) the socio-economic benefits, including gender dimensions, to be delivered by the project, and b) how will the delivery of such benefits support the achievement of incremental/ additional benefits?</p>	<p>There is no description of socio-economic benefits, but the mention that a sound analysis will be undertaken under the PPG. Gender issues are monitored through baseline projects.</p> <p>We are quite surprised that there is no more information on the nature of beneficiaries on the ground. Some elements from the LVEMP II and the</p>	

		<p>of local communities, existence of producer, farmer, or herder associations, CSO and NGOs). Please provide some basic elements.</p> <p>April 17, 2012 We take note that a social assessment will be undertaken at PPG level. Please, address the question at CEO endorsement (see cell. 31).</p>	
	<p>17. Is public participation, including CSOs and indigeneous people, taken into consideration, their role identified and addressed properly?</p>	<p>The project definitely aims to improve livelihoods of the most vulnerable populations of the Gishwati landscape. However, the information provided about the implementation framework is fully government managed. There is no information on the local environment of CSO, NGO, traditional groups, indigenous people, and socio-professional organizations. The implementation arrangements could be applied in many countries. Please describe in some lines the local context and the stakeholders on the ground.</p> <p>April 17, 2012 The point is not addressed in the table (there is a response on the role of IUCN that is out of the scope). However, we find some elements in the section B3 and in the annex on baseline projects. Please, clearly confirm the work to be undertaken on CSO, indigenous people, and local communities in general. All points related to these issues are skipped.</p>	
	<p>18. Does the project take into account potential major risks, including the consequences of climate change and provides sufficient risk mitigation</p>	<p>April 10, 2012</p> <p>A very preliminary list of risk is provided. Please. include a</p>	

		<p>Endorsement.</p> <p>Cleared</p>	
	<p>19. Is the project consistent and properly coordinated with other related initiatives in the country or in the region?</p>	<p>April 10, 2012</p> <p>Rwanda is member of COMIFAC and at the heart of many initiatives related to sustainable forest management issues. We are quite surprised to not find mention of the main projects and programs developed under the COMIFAC convergence plan and other regional initiatives, as the CBFF.</p> <p>At national level, please, explain how this project will be developed in coordination and good intelligence with the project prepared by AfDB and financed by the CBFF. At first sight, there is a strong risk of duplication of efforts with this Euro 4.5 million project entitled "Sustainable Woodland Management and Natural Forest Restoration project in Rwanda".</p> <p>At local level, it will be a minimum to mention how this GEF project will be coordinated with other initiatives that focus on the Gishwati forest (IUCN, Grape Ape Trust, bilateral cooperation agencies, etc.).</p> <p>Moreover, this project is not the first GEF project dealing with ecosystems and forests in Rwanda. Please, explore how you can take lessons from the Integrated Management of Critical Ecosystems project that recently closed.</p>	

Same recommendation with the GEF/UNDP project entitled "Conservation of the Montane Forest Protected Area System in Rwanda project".

CCA: NOT CLEAR. Upon addressing CCA recommendations under sections 11 and 13 above, please describe how the proposed project would build on and learn from the ongoing UNEP-LDCF project, Reducing Vulnerability to Climate Change by Establishing Early Warning and Disaster Preparedness Systems and Support for Integrated Watershed Management in Flood-Prone Areas.

04/15/2012 â€œ CCA: YES. The UNEP-LDCF project has been included among other relevant initiatives in Section II.B.6.

By CEO Endorsement, please elaborate how the proposed project would be coordinated with the UNEP-LDCF project, particularly with around Gishwati Forest, as well as other relevant adaptation initiatives.

April 17, 2012
The point has been skipped. Please address.

April 20, 2012
The coordination issues have now been adequately addressed.

Cleared

	<p>20. Is the project implementation/ execution arrangement adequate?</p>	<p>April 10, 2012</p> <p>Please, provide further information on the role of national and local partners in the implementation phase (university, research center, etc.).</p> <p>April 17, 2012</p> <p>The point has been skipped. Please address.</p> <p>April 20, 2012</p> <p>The execution arrangements have now been clarified and are adequate.</p> <p>Cleared</p>	
	<p>21. Is the project structure sufficiently close to what was presented at PIF, with clear justifications for changes?</p>		
	<p>22. If there is a non-grant instrument in the project, is there a reasonable calendar of reflows included?</p>		
<p>Project Financing</p>	<p>23. Is funding level for project management cost appropriate?</p>	<p>April 10, 2012</p> <p>- Please, maintain the management costs under 5 percent at PIF level. If, after PPG, there is a strong rationale for higher management costs, provide all the evidences and a detailed budget that will be reviewed by the GEF Secretariat.</p> <p>- Please provide a cofinancing ratio for management costs in the same range that the whole project (around 1:5). We cannot accept a ratio of 1:2.</p>	

		<p>PMC is now appropriate.</p> <p>Cleared</p>	
	<p>24. Is the funding and co-financing per objective appropriate and adequate to achieve the expected outcomes and outputs?</p>	<p>April 10, 2012</p> <p>We will need a revised result framework and more information about the activities to state on this point. A relatively high amount from the GEF and from the cofinancing is planned for the component 1. Depending on the revised framework, we will invite the Agency to reduce the part on the institutional component and focus more on results on the ground addressing vulnerable poor issues.</p> <p>CCA: NOT CLEAR. Upon addressing CCA recommendations under sections 8, 13, and 14 above, please revise the LDCF grant amounts in tables A and B accordingly.</p> <p>CCA: NOT CLEAR.</p> <p>RECOMMENDED ACTION: Upon addressing the remaining CCA recommendations under sections 13 and 14 above, please revise the LDCF grant amounts in tables A and B accordingly</p> <p>April 17, 2012</p> <p>We take note that there is a ratio of 1:3 between the C1 and the C2, providing much more resources for activities on the ground. We expect a same ratio at CEO endorsement.</p>	

		04/20/2012 â€œ CCA: YES.	
	25. At PIF: comment on the indicated cofinancing; At CEO endorsement: indicate if confirmed co-financing is provided.	<p>April 10, 2012</p> <p>There is a cofinancing ratio of 1:5 that is welcome, however we raised some issues about a potential double counting. Please clarify.</p> <p>We take note that only parts of the cofinancing projects are considered as baseline.</p> <p>April 17, 2010</p> <p>The co-financing is now clear, but needs to be further refined during project development.</p> <p>Cleared</p>	
	26. Is the co-financing amount that the Agency is bringing to the project in line with its role?	<p>April 10, 2012</p> <p>Yes.</p> <p>Cleared</p>	
Project Monitoring and Evaluation	27. Have the appropriate Tracking Tools been included with information for all relevant indicators, as applicable?		
	28. Does the proposal include a budgeted M&E Plan that monitors and measures results with indicators and targets?		
Agency Responses	29. Has the Agency responded adequately to comments from:		
	<ul style="list-style-type: none"> • STAP? 	<p>April 17, 2012</p> <p>Please respond as required.</p>	
	<ul style="list-style-type: none"> • Convention Secretariat? 		

	• Other GEF Agencies?		
Secretariat Recommendation			
Recommendation at PIF Stage	30. Is PIF clearance/approval being recommended?	<p>April 10, 2012</p> <p>The PIF cannot be recommended yet. Please address the points raised above.</p> <p>04/15/2012 “ CCA: NOT YET. Please address remaining recommendations in sections 13, 14, 15, and 24.</p> <p>April 17, 2012: GEFTF: please address the remaining points in the cell. 11, 14, 17, 19, and 20.</p> <p>04/20/2012 “ CCA: YES.</p> <p>April 25, 2012</p> <p>All issues have been addressed. The PIF is now recommended for clearance.</p>	
	31. Items to consider at CEO endorsement/approval.	<ul style="list-style-type: none"> - Confirm cofinancing. - Include how the GEB will be monitored. - Develop a monitoring programme for the carbon benefits, and provide more detailed numbers at CEO endorsement. - ensure TTs are completed appropriately for all FAs - Develop assessment and evaluation of services provided by forests, as mentioned in the table A. - Develop implementation arrangements. - Include a capacity development strategy targetting local stakeholders (farmers, herders, producer organizations, social and professional networks, NGOs, etc). 	

		<p>institutions as universities and research centers.</p> <ul style="list-style-type: none"> - Please, provide a social assessment on each pilot site. - Develop the sustainability of the approach. <p>04/15/2012 " CCA: Please refer to sections 10, 13 and 19.</p> <ul style="list-style-type: none"> - Develop a comprehensive risk analysis. - Develop baseline on pilot sites, including the socio-economic context. - Look at the coordination with other regional, national, and local initiatives. <p>04/20/2012 " CCA: In addition to the issues previously raised, please note that the additional reasoning, particularly for the proposed support towards renewable sources of energy and more efficient use of fuelwood, will be revisited upon further information about the specific areas to be targeted for LDCF support.</p>	
Recommendation at CEO Endorsement/ Approval	32. At endorsement/approval, did Agency include the progress of PPG with clear information of commitment status of the PPG?		
	33. Is CEO endorsement/approval being recommended?		
Review Date (s)	First review*	April 10, 2012	
	Additional review (as necessary)	April 17, 2012	
	Additional review (as necessary)	April 25, 2012	
	Additional review (as necessary)		
	Additional review (as necessary)		

* This is the first time the Program Manager provides full comments for the project. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments. Greyed areas in each section do not need comments.

REQUEST FOR PPG APPROVAL

Review Criteria	Decision Points	Program Manager Comments
PPG Budget	1. Are the proposed activities for project preparation appropriate?	NA. The letter however mentions that the PPG will be used for baseline studies required to prepare the full project. This is definitely the aim of a PPG.
	2. Is itemized budget justified?	The amount of \$104,364 is OK for a project under \$10 million. This amount is compatible with what was endorsed by the GEF OFP. January 30, 2012 The PPG amount has increased. \$120,548 is now requested. With the 9.5% of fees, it is a total of GEF resources of \$132,000 for the PPG. This amount is compatible with the letter of endorsement. The PPG amount + the 9.5 fees + the project amount + the 10% fees = \$10,617,200 = the GEF amount endorsed.
Secretariat Recommendation	3. Is PPG approval being recommended?	Please, remind that since Jan. 1, 2013, a new fee policy is applied. For this PPG related to a project under \$10 million, please use the 9.5% option. Upon receipt of a revised PPG, the request will be recommended to the CEO for approval. January 30, 2013 The 9.5% fee policy has been applied. Addressed. The PPG is recommended for approval.
	4. Other comments	
Review Date (s)	First review*	January 23, 2013
	Additional review (as necessary)	January 30, 2013

* This is the first time the Program Manager provides full comments for the project. Subsequent follow-up reviews should be recorded. For specific comments for each section, please insert a date after comments.